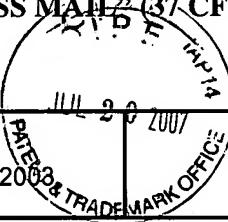


07-23-07

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Emerson Jones et al.		Docket Number 006878.114501
Serial No. 10/676,297	Filing Date September 30, 2003	Examiner Ali, M
Group Art Unit 3609		



Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	:	Group Art Unit: 3609
Serial No.: 10/676,297	:	Examiner: Ali, M
Filed: September 30, 2003	:	Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A Capital Structure For A Company	:	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
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P.O. Box 1450  
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This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,  
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By:   
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of

3

## Complete If Known

Application Number	10/676,297
Filing Date	Sept. 30, 2003
First Named Inventor	Jones
Art Unit	3609
Examiner Name	A. M.
Attorney Docket Number	0010878-114501

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2002/0046144	04-18-2002	Graff	
		US 2002/0065785	05-30-2002	Shlafman et al.	
		US 2002/0075007	06-13-2002	Ayache	
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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## Complete If Known

Application Number	10/676,291
Filing Date	Sept. 30, 2003
First Named Inventor	JONES
Art Unit	3609
Examiner Name	Ali, M
Attorney Docket Number	066878-114501

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2003/0236738	12-25-2003	Lange	
		US 2004/0006520	01-08-2004	Birte, Jr. et al.	
		US 5,744,045	12-30-1997	Hing et al.	
		US 5,742,775	04-21-1998	Kings	
		US 5,802,501	09-01-1998	Graff	
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		US 6,148,293	11-14-2000	Kings	
		US 6,167,384	12-26-2000	Graff	
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		US 6,278,981	08-21-2001	Demizu	
		US 6,321,212	11-20-2001	Lange	
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		US 6,021,662	05-09-2000	Makivic, M.	
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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Sheet	3	of	3
Attorney Docket Number <u>006878-114501</u>			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		<i>ISDA Letter to Mr. Timothy Gursel, Director of Research and Technical Activities, FASB, April 30, 2001</i>	
		<i>The Analyst's Accounting Observer Letter to Mr. Timothy Gursel, FASB, May 3, 2001</i>	
		<i>Six Batch of Proposed IAS39 Implementation Guidance Questions and Answers, Draft issued for comment on June, 22, 2001</i>	
		<i>Exposure Draft of Proposed Amendments to IAS 32 and IAS 39, dated 2002</i>	
		<i>Intermediate Accounting by Loren Nikolai and John Baetz, PWS-Kent Publishing Company 5<sup>th</sup> Edition, 1991 pp. 1183-1205</i>	
		<i>Sams Teach Yourself Excel 97 in 10 Minutes by Jennifer Fulton Sams, 1998 pp. 152-157</i>	

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